Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10//057,323 Filing Date 01/25/2002 **FORM** First Named Inventor Harry R. Davis, et al. Art Unit 1617 (to be used for all correspondence after initial filing) **Examiner Nam** Mojdeh Bahar Attorney Docket Number CV01489K Total Number of Pages in This Submission (Check all that apply) **ENCLOSURES** After Allowance Communication Drawing(s) to Group Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please **Terminal Disclaimer Extension of Time Request** Identify below): Cert. of Mailing PTO/SB92 - 1 Pg.; Post Card Request for Refund **Express Abandonment Request** PTO-1449 - 1 Pg. in dup.; (15) References CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts uhder 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Ann Marie Cannoni, Reg. No. 35,972 Individual Signature

CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to first class mail in an envelope addressed to: Commissioner for Patents,	o the USPTO or deposited with the United <u>States Postal Service with sufficient</u> postage as Washington, DC 20231 on this date:							
Typed or printed								
Signature	Date							

Date

11/25/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Harry R. Davis et al.

Group Art Unit:

Serial No.:

10/057,323

Examiner: Mojdeh Bahar

Filed:

01/25/2002

Attorney Docket No.: CV01489K

For:

**Combinations of Peroxisome Proliferator-Activated Receptor** (PPAR) Activator(s) and Sterol Absorption Inhibitor(s)
And Treatments for Vascular

**Indications** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

PTO-1449 For application.	orm be Copy(ie	consides) of t	dered and made of record in the above-identified patent these references  are attached  were filed in related filed, respectively.			
(b)	No fee	is belie	ved due because:			
			formation Disclosure Statement is being filed within three (3) s of the filing date of the application.			
			formation Disclosure Statement is being concurrently filed with ove-identified application.			
			formation Disclosure Statement is being concurrently filed with lest for Continued Examination (RCE).			
	$\boxtimes$		nformation Disclosure Statement is being filed prior to the of a first Office Action on the merits.			
(c)		This Information Disclosure Statement is being filed before date of any final action, notice of allowance or an action the closes prosecution; and				
			Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or			
			No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or			

Serial N Novem Page 2	ber 25,			The Commissioner is hereby authorized to charge the requisite fee listed on the attached Fee Transmittal Sheet.		
	(d)			formation Disclosure Statement is being filed on or before the nt of the issue fee; and		
				Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or		
				No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; and		
				The Commissioner is hereby authorized to charge the requisite fee listed on the attached Fee Transmittal Sheet.		
The Commissioner is hereby authorized to charge any additional fees which make required for this Information Disclosure Statement, or credit any overpaym Deposit Account No. 19-0395, Patent Case No. CV01489K . A DUPLI COPY OF THIS SHEET IS ATTACHED.						
				Respectfully submitted, SCHERING-PLOUGH CORPORATION		
Patent 2000 G Kenilwe	ING-PLO Depart Sallopin orth, N	5/2003 DUGH Co tment, K ig Hill Ro J 07033 : (908)	7-6-1, 19 oad 3-0530	Reg. No.: <b>35,972 Attorney of Record</b> Telephone No.: (908) 298- <b>5024</b>		

OIPE VEROS
DEC 0 1 2003 125
FORM B PO-1449

	1 <del>1</del> 5				S	heet <u>1</u>	of	1	<u> </u>
FORM PPO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE					ATTY. DOCKE	APPLICATION NO.: 10/057,323			
INFO	DRM	ATION DISCLOS BY APPLIC		ATEMENT	APPLICANT: Harry R. Dav				
	(U	se several sheets	s if necess	ary)	FILING DATE: <b>01/25/2002</b>		GROUP: 1617		
			U.S. P	ATENT DOCU	MENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAN		CLASS	SUB- CLASS	FILING APPRO	
	AA	6,030,990	02/29/00	Maeda et al.					
	AB	6,166,049	12/26/00	Smith et al.					
	AC	2003/0153541	08/14/03	Dudley et al.					
						***			
				PATENT DOC	CUMENTS_	_			
		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB- CLASS	TRANS YES	LATION NO
	AE	WO 01/14351	03/01/01	PCT					
	AE	WO 01/34148	05/17/01	PCT	-				
	AF	WO 01/60807	08/23/01	PCT		1			1
	AG	WO 02/064094	08/22/02	PCT			<del></del>		1
	AH	WO 02/08188	01/31/02	PCT		1			
	AI	WO 02/26729	04/04/02	PCT		<u> </u>			
	AJ	WO 03/039542	05/15/03	PCT	·	<del>                                     </del>			
	AK	WO 03/074101	09/12/03	PCT	·	1			
	AL	WO 03/088962	10/30/03	PCT	<del>in</del>				<del>                                     </del>
	/ (	110 00/000002	10/00/00						
	OT	L HER DOCUMEN	TS (Includ	ling Author Titl	e Date Perl	tinent Pa	aes Et	G.)	
	AM	Sorbera et al. Neto	alitazone D	rugs of the Future	2002 27(2): 1	32-139	. <del></del>	/	
	AN	Sorbera et al., Netoglitazone, <i>Drugs of the Future</i> , 2002, 27(2): 132-139  Michel Farnier, Nouvelles approaches médicamenteuses dans le traitement des dyslipidémies, <i>MT Endocrinologie</i> , 2002, 4:252-259							
	AO	Berger et al., Physiological and Therapeutic Roles of Peroxisome Proliferator-Activated Receptors, Diabetes Technology & Therapeutics, 2002, 4:163-174							
		11000001010, 2702010	<u>o roomiolog</u>	y a morapounce,	2002, 11100 11	•			
			· · · · · · · · · · · · · · · · · · ·						
						_		<del></del>	
EXAMINER	2			DATE C	ONSIDERED				
+5>/ 6 8 415 15		***-1 ** *			1-1		4DED 600	O. Dasii	. lima
through cital applicant.	K: Ir ation	itial if reference consift not in conformance	sidered, whe e and not co	etner or not citation nsidered. Include	copy of this for	m with ne	xt commi	e; Draw unication	ine i to
Cappilourit.		<u> </u>							

OIPE
DEC 0 1 2003
TEMPINETO 144

## **DUPLICATE**

ji S	7				s	heet _1	of	1	<u></u>
U.S. DEPARTMENT OF COMMERCI PATENT AND TRADEMARK OFFICE					ATTY. DOCKET NO.: CV01489K		APPLICATION NO.: 10/057,323		
INFO	RM	ATION DISCLO BY APPLI		ATEMENT	APPLICANT: Harry R. Dav	ris et al.			
	(Use several sheets if necessary)						GROUP:		
	- (0.				01/25/2002		1617		
				ATENT DOCU					
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAI	ME	CLASS	SUB- CLASS	FILING APPRO	
	AA	6,030,990	02/29/00	Maeda et al.				—	
	AB	6,166,049	12/26/00	Smith et al.				<del> </del>	
	AC	2003/0153541	08/14/03	Dudley et al.	··	<del> </del>		<del> </del>	
			_			<del> </del>	<del></del>	<del>                                     </del>	
			FOREIGN	PATENT DO	CUMENTS				
		DOCUMENT	DATE	COUNTRY		CLASS	SUB-		LATION
		NUMBER			·	ļ	CLASS	YES	NO
	AE	WO 01/14351	03/01/01	PCT				<del>                                     </del>	<del> </del>
	AE	WO 01/34148	05/17/01	PCT				<del> </del>	<del> </del>
	AF	WO 01/60807	08/23/01	PCT		<u> </u>		<del> </del>	
	AG	WO 02/064094	08/22/02	PCT		<u> </u>		<del> </del>	<del> </del>
	AH	WO 02/08188	01/31/02	PCT				<del>                                     </del>	┼──
	Al	WO 02/26729	04/04/02	PCT				<del> </del>	<del> </del>
	AJ	WO 03/039542	05/15/03	PCT		<del>                                     </del>	ļ <u> </u>	<del>                                     </del>	
	AK	WO 03/074101	09/12/03	PCT				<del>                                     </del>	+
	AL	WO 03/088962	10/30/03	PCT					<del> </del>
								<u> </u>	
	OTI	HER DOCUMEN	ITS (Includ	ling Author, Titl	e, Date, Pen	tinent Pa	ges, Et	c.)	
·	AM	Sorbera et al., Net	oglitazone, D	rugs of the Future	, 2002, 27(2): 1	32-139		_	
	AN	Michel Farnier, No MT Endocrinologie	, 2002, 4:252	2-259					ies,
:	AO	Berger et al., Phys Receptors, Diabete	iological and	Therapeutic Role			tor-Activa	ated	
		•							
				·					
EXAMINER	<u> </u>			DATE C	ONSIDERED	<del>,</del>		•	
*EXAMINEI through cita applicant.	R: In	itial if reference cor if not in conformanc	sidered, whe e and not co	ether or not citation nsidered. Include	is in conformation is in conformation in confo	nce with M m with ne	MPEP 60 xt commi	9; Draw unication	iline 1 to

PTO/SB/92 (08-03) (Modified)

Application No.: 10/057,323 Filing Date: 01/25/2002 Applicant: Harry R. Davis et al.

Title: Combinations of Peroxisome Proliferator-Activated Receptor (PPAR) Activator(s) and Sterol Absorption Inhibitor(s) and Treatments of Vascular Indications

## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on 11/25/2003 Date

Signature

Ann Marie Cannoni, Reg. No. 35,972

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Documents enclosed:

Response Transmittal PTO/SB/21 - 1 Page

Supplemental Information Disclosure Statement - 2 Pages in duplicate

Certificate of Mailing PTO/SB92 - 1 Page

PTO-1449 - 1 Pg. in dup.; (15) References

Post Card

This collection of Information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.